



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : ALAN D. FORD, et al. Art Unit : Unknown
 Serial No. : Examiner : Unknown
 Filed : Herewith
 Title : AN INFUSION PUMP WITH AN ELECTRONICALLY LOADABLE DRUG
 LIBRARY AND A USER INTERFACE FOR LOADING THE LIBRARY

Commissioner for Patents
 Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. Under 35 USC §120, this application relies on the earlier filing date of application serial number 08/957,907, filed on October 27, 1997. The references listed on the attached forms PTO 1449 were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Applicant : ALAN D. FORD, et al.

Serial No. :

Filed : Herewith

Page : 2

Attorney's Docket No.: 00786-157003

This statement is being filed with the application. Please apply any charges or credits to
Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

June 8, 2001

Eric L. Prah

Eric L. Prah

Reg. No. 32,590

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

Notice of References Cited

Application/Control No.

08/957,907

Applicant(s)/Patent Under
Reexamination
FORD ET AL.

Examiner

John W Hayes

Art Unit

2761

Page 1

U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	DOCUMENT SOURCE **	
							APS	OTHER
<input checked="" type="checkbox"/>	A	3,809,871	May. 1974	Howard et al	604	131	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	B	4,464,172	Aug. 1984	Lichtenstein	604	65	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	C	4,502,488	Mar. 1985	Degironimo et al	604	246	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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<input checked="" type="checkbox"/>	F	4,741,732	May. 1988	Crankshaw et al	604	67	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	G	4,756,706	Jul. 1988	Kerns et al	604	66	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	H	4,853,521	Aug. 1989	Claeys et al	604	407	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	I	4,898,578	Feb. 1990	Rubalcaba, Jr.	604	66	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	DOCUMENT SOURCE **	
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NON-PATENT DOCUMENTS

*		DOCUMENT (Including Author, Title Date, Source, and Pertinent Pages)	DOCUMENT SOURCE **	
			APS	OTHER
<input checked="" type="checkbox"/>	U	Bazara et al, "Recommendations for Specifications and Operator Interface Design for New Medical Infusion Pumps", Biomedical Instrumentation & Technology, 2:364-370, September - October 1992	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	V	Crankshaw et al, "How Should We Administer Intravenous Anaesthetic Drugs?", I.V. Anesthesia: What is New?, Vol. 5, No. 2, Chapter 4, September 1991	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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U.S. Patent and Trademark Office

PTO-892 (Rev. 03-98)

Notice of References Cited

Part of Paper No. 7

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Page 2 of 4

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<input checked="" type="checkbox"/>	A	5,034,004	Jul. 1991	Crankshaw	604	67	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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NON-PATENT DOCUMENTS

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<input checked="" type="checkbox"/>	U	Glass et al, "Intravenous Drug Delivery Systems", Anesthesiology, Raven Press, 1991, pp. 23 and 34	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	V	Jacobs et al, "Technology for Continuous Infusion in Anesthesia", Intern. Anesth. Clinics, 1991.29(4) publication date, January 1992, pp. 39-49	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	W	CaiVAS Brochure, October 14, 1992	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	X	S.H. Juhl et al, "Patient-Controlled Analgesia: A Technical Toy or a Contribution to the Treatment of Pain?", Anaesthesist, 1988 Aug;37(8): 543-550 (Abstract)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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Examiner

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Page 4 of 4

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<input checked="" type="checkbox"/>	U	G. Juhl, "The Infucommand: A PCA (Patient-Controlled Analgesia) Device Using Pulsoximetric Bolus Control", Anaesthetist, 1990 Apr:39(4):236-239 (Abstract)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	V	H. Sittmann et al, "Computer-Controlled Infusion", Anaesthetist, 1988 Aug:37(8):558-561	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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U.S. Patent and Trademark Office

PTO-892 (Rev. 03-98)

Notice of References Cited

Part of Paper No. 7

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
00786-157002Application No.
08/957,907**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.96(b))

Applicant
Alan D. Ford et al.Filing Date
October 27, 1997Group Art Unit
2761JC872 U.S. PTO
09/877404
06/08/01**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
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	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	H. Suttman et al., "Computer-Controlled Infusion", ANAESTHESIST, 1988 Aug;37(8):558-61
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
00786/157002SERIAL NO.
08/957,907INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT
Alan D. Ford et al.FILING DATE
October 27, 1997GROUP
3301

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	2	5	6	1	5	7	10/1993	Samiotes et al.			
	AB	5	0	8	8	9	8	1	2/1992	Howson et al.			
	AC	5	0	7	8	8	8	3	1/1992	Sancoff et al.			
	AD	5	0	3	4	0	0	4	7/1991	Crankshaw			
	AE	4	9	7	8	3	3	5	12/1990	Arthur, III			
	AF	4	9	4	3	2	7	9	7/1990	Samiotes et al.			
	AG	4	9	4	2	8	8	3	7/1990	Newman			
	AH	4	9	2	5	4	4	4	5/1990	Orkin et al.			
	AI	4	8	9	8	5	7	8	2/1990	Rubalcaba, Jr.			
	AJ	4	8	5	3	5	2	1	8/1989	Claeys et al.			
	AK	4	7	5	6	7	0	6	7/1988	Kern et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	0 364 010	4/1990	Europe				
	AM	0 497 041 A1	8/1992	Europe				
	AN	88/10383	12/1988	WIPO				

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AO	Bazaral et al., "Recommendations for Specifications and Operator Interface Design for New Medical Infusion Pumps", BIOMEDICAL INSTRUMENTATION & TECHNOLOGY, 2:364-370 (Sep./Oct. 1992)		
AP	Crankshaw et al., "How Should We Administer Intravenous Anaesthetic Drugs?", I.V. ANESTHESIA: WHAT IS NEW?, Vol. 5, No. 2, Chapter 4, September 1991		
AQ	Siemens MiniMed® Infusion System product literature, April 1992		
AR	Harvard Apparatus, Syringe and Peristaltic Pumps, 1991, pp. 14-33		

EXAMINER

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BA	7 4 1 7 3 2	5/1988	Crankshaw et al.			
BB	4 9 6 6 7 1	9/1987	Epstein et al.			
BC	4 5 4 3 6 9	10/1985	Skakoon et al.			
BD	4 5 0 4 8 8	3/1985	Degironimo et al.			
BE	4 4 6 4 7 2	8/1984	Lichtenstein			
BF	3 8 0 9 8 1	5/1974	Howard et al.			

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DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

BH	Bard PCA II product literature, October 14, 1992
BI	Siemens MiniMed® III Infusion System Life Support Systems product literature, October 14, 1992
BJ	Omni-Flow 4000 Operations Manual, October 14, 1992
BK	Glass et al., "Intravenous Anesthetic Delivery", ANESTHESIA, Vol. 1, 1990, pp. 367-388.
BL	Glass et al., "Intravenous Drug Delivery Systems", ANESTHESIOLOGY, Raven Press, 1991, pp. 23 and 34.
BM	Jacobs et al., "Technology for Continuous Infusion in Anesthesia", INTERN. ANESTH. CLINICS, 1991.29(4) publication date, January 1992, pp. 39-49
BN	CAIVAS brochure, October 14, 1992.

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Substitute Disclosure Form (PTO-14

Substitute Form PTO-1449
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Patent and Trademark OfficeAttorney's Docket No.
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(Use several sheets if necessary)

(37 CFR §1.98(a))

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	AA	5,772,635	06/30/98	Dastur et al.			
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	AM							
	AN							
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	AQ	S.H. Juhl et al., "Patient-Controlled Analgesia: A Technical Toy or a Contribution to the treatment of pain?", ANAESTHESIST, 1988 Aug:37(8):543-50 (English abstract only)
	AR	G. Juhl, "The Infucommand: A PCA (Patient-controlled analgesia) Device Using Pulsoximetric Bolus Control", ANAESTHESIST, 1990 Apr:39(4):236-9 (English abstract only)
	AS	H. Suttman et al., "Computer-Controlled Infusion", ANAESTHESIST, 1988 Aug:37(8):558-61
	AT	

Examiner Signature

/Ryan Jarrett/

Date Considered

05/02/2008

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